

2 In-situ calibration of the single photoelectron 3 charge response of the IceCube photomultipliers

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4 ABSTRACT: This technical report outlines the in-situ calibration of the single photoelectron charge distributions for the Hamamatsu Photonics R7081-02 photomultipliers in the IceCube Neutrino Observatory. We discuss the single photoelectron ~~extraction~~ procedure, charge selection criteria, and report on various correlations between the shape of the charge distribution and hardware components. The time dependence of the charge distributions is also investigated.

5 KEYWORDS: IceCube, SPE Template, single photoelectron, PMT charge distribution.

identification
maybe
more
interesting
to mention
the deconvolution

Terminology (used to be ~~the~~ different)

PE = photoelectron ? pt says it's the charge of gaussian
SPE = ? single photoelectron? mean
 $\mu = ?$ $\sigma = ?$ change of an individual one?

I think we should be more rigorous what each thing means. "PE" is defined on pt but is this a good idea, or create a new symbol ~~to~~ QPE for this paper?

7 **Contents**

8	1. Introduction	1
9	1.1 Single photoelectron charge distributions	3
10	1.2 IceCube datasets and software definitions	4
11	2. Single photoelectron pulse selection	5
12	3. Characterizing the low-PE charge region	6
13	4. Extracting the SPE templates	6
14	4.1 Fitting procedure	6
15	4.2 SPE template fit results	8
16	5. Discussion	9
17	5.1 Correlations between fit parameters and DOM hardware differences	9
18	6. Conclusion	11
19	7. Appendix	13
20	7.1 Quantifying the effect of using SPE templates	13
21	7.1.1 Dim source measurements	13
22	7.1.2 Semi-bright source measurements	13
23	7.1.3 Bright source measurements	14
24	7.1.4 Model comparison	14

26 **1. Introduction**

27 The IceCube Neutrino Observatory [1] is a cubic-kilometer sized array of 5,160 photomultiplier
 28 tubes (PMTs) buried in the Antarctic ice sheet designed to observe high energy neutrinos interacting
 29 with the ice [2]. As of 2011, the IceCube collaboration completed the installation of the main
 30 IceCube detector consisting of 78 cables, so called strings, and the low energy infill, DeepCore,
 31 consisting of a more densely arranged array of 8 strings. Each string in the detector contains 60
 32 digital optical modules (DOMs), that house a single PMT each, as well as all required electronics.
 33 The DOMs extend from roughly 1450 m to 2450 m below the surface of the ice sheet and are spaced
 34 roughly 17 m apart in the IceCube detector and 7 m apart in the DeepCore detector.

35 Each DOM consists of a 0.5" thick glass pressure vessel with a single down-facing 10" R7081-
 36 02 PMT from Hamamatsu Photonics [3]. The PMT is specified for wavelengths ranging from
 37 300 nm to 650 nm, with peak quantum efficiency around 25% near 390 nm. Each PMT is coupled
 38 to the glass with optical gel and is surrounded by a wire mesh of μ -metal to reduce the effect of the

"awkward"
vertical
strings of
PMT modules"

↑
housing

Add standard
ref for
IceCube, DUNE
etc.

ref MB paper

spherical

69 In using in-situ data to measure the charge distributions, we accurately represent the individual
70 PMT response as a function of time, environmental conditions, software version, hardware differ-
71 ences, and sample photons uniformly over the surface of the photocathode. This is beneficial since
72 it also allows us to inspect the stability and long term behavior of the individual DOMs, verify
73 previous calibration, and correlate features and environment to DOM behavior.

insert
fig 1 discussion
here, starting

words ~~the~~ ~~as~~ ~~particular~~ ~~not~~ ~~concepts~~ ~~to~~ ~~be~~ ~~flow~~

1.1 Single photoelectron charge distributions

75 In an idealistic scenario, a single photon produces a single photoelectron, which is then amplified
76 by a known amount and the measured charge corresponds to 1PE. However, there are many physical
77 processes which create structure in the measured charge distributions. For example:

78 • **Statistical fluctuation due to cascade multiplication** [8]. At every stage of dynode ampli-
79 fication, there is a stochastic spread in the number of emitted electrons that make it to the
80 next dynode. This in turn causes a spread in the measured charge after the gain stage of the
81 PMT.

82 • **Photoelectron trajectory**. Some electrons may deviate from the favorable trajectory, reduc-
83 ing the effective multiplication. This can occur at all dynodes, however, it has the largest
84 effect on the multiplication at the first photoelectron [9]. The trajectory of the photoelectron
85 striking the first dynode will depend on many things, include where on the photocathode it
86 was emitted, the uniformity of the electric field, the size and shape of the dynode [8], and the
87 magnetic field [10, 11].

Measurement
actually resembles
the picture (1,17)
should clarify this
option here

88 • **Late or delayed pulses**. A photoelectron can (in-)elastically scatter off the first dynode. The
89 scattered electron can then be re-accelerated to the dynode, and creates a second pulse that is
90 also lower in charge. The difference in time between the initial pulse and the re-accelerated
91 pulse in the R7081-02 was previously measured to be up to 70 ns [6, 12]. Collecting either the
92 initial pulse or the late pulse will result in the charge falling into the low-PE charge region.

elastically or inelastically

on the
following
one.

93 • **After-pulses**. As the electrons gain energy in the cascade multiplication chain, they can
94 ionize residual gas between dynodes, which then can itself accelerate towards the dynodes.
95 For the IceCube PMTs, the timescale for after-pulses was measured to occur roughly 0.3 to
96 12 μ s after the initial pulse [6]. This populates the low-PE charge region since some of the
97 energy of the electron avalanche goes into the ionization of the residual gas. ?? Individual
98 e+gas doesn't
99 cause much
100 but those
101 full
102 or multiple
103 SEE SITE

not lower
for elastic,
right?

explain better,
e.g. cathode is
main impact point
gives one or more
delayed electrons
into multiplier,
and
if ionization is
generated before
first dynode
then a significant
amt of energy
go missing, otherwise
it would be less
than 5%. Need
ref to Ma perhaps, others
in addition to IceCube
PMT.

Add Ma 2011?

100 • **Pre-pulses**. If the incident photon passes through the photocathode without interaction and
101 strikes one of the dynodes, it can eject an electron thus causing the measured charge to be
102 lower. For the IceCube PMTs, the pre-pulses were found to arrive approximately 30 ns before
103 the signal from other photoelectrons from the photocathode [6]. Further detail is available in
104 Ref. [13].

esp. cathode
...
too early
...
in the cathode
...
lost
...
only if the
primary PE
is
lower
by a
factor 25 or
greater.

105 • **Multi-PE contamination**. When multiple photoelectrons arrive at the dynodes within sev-
eral nanoseconds of each other, they can be reconstructed by the software as a single, multi-
PE pulse.

represented

106 The previous IceCube charge distribution (known as the TA0003 distribution) modeled the
107 above effects as the sum of an exponential plus a Gaussian, where the exponential represented
108 poorly amplified pulses, and the Gaussian represented the spread in properly amplified pulses.
109 Subsequent measurements illustrated that when measuring charge below the discriminator, the de-
110 scription of the shape was improved with the addition of a second, steeply falling exponential
111 (Exp₁) to account for the low-PE charge region:

define $g = \text{sum of pulse charges or integral of waveform including late pulse but not afterpulse.}$

$$f(q) = E_1 e^{-q/w_1} + E_2 e^{-q/w_2} + N e^{-0.5 \frac{(q-\mu)^2}{\sigma^2}} \quad (1.1)$$

threshold (0.25 SPE)

112 This is the SPE template functional form that is used in this report. IceCube calibrates the gain on
113 the individual DOMs during the start of each season to ensure that the Gaussian mean component,
114 μ , of the SPE template (which defines 1PE) equals 10^7 electrons.

115 The shape of $f(q)$ is finite down to 0 PE, however due to the discrete nature of the ADC and
116 the fluctuations about the baseline, some assumption on the shape must be inferred in the low-PE
117 charge region.

118 The multi-PE contamination to the charge distribution is assumed to be the convolution of
119 the SPE distribution multiple times [14]. That is, the two-PE distribution is assumed to be
120 SPE distribution convolved with itself. A python based piece of software called the "convolutional
121 fitter" is used to determine the components of Eq. 1.1.

maybe later? also would need explanation that it doesn't have strong effect to vary the lowest part that is less well measured (see SPE)

1.2 IceCube datasets and software definitions

123 An induced signal in the PMT will pass through the AC coupling toroid located on the base of the
124 PMT, then be compared to a discriminator threshold set to 0.25 PE. The crossing of the discrimi-
125 nator threshold begins a "DOM launch" and the waveforms are recorded with a high-speed 10-bit
126 waveform digitizer (Analog Transient Waveform Digitizer, ATWD).

127 For each triggered window, the ATWD samples 128 times at 300 MHz. In order to be able to
128 trigger the ATWD and record baseline data prior to the pulse, the analog input from the PMT is
129 sent through a delay board, which delays the signal by approximately 75 ns.

130 After waveform digitization, there is a correction applied to remove any DC baseline offset
131 and correct for the signal droop introduced by the AC coupling. The waveform is then passed
132 through pulse extraction software (WaveDeform [15]) to de-convolute the waveform into a so-
133 called *pulse series* of scaled SPE pulses, each with a time and charge in terms of SPE. WaveDeform
134 also attempts to takes into account the SPE waveform shape difference between the new and old
135 versions of AC coupling.

from a ~~measured~~ measured charge distribution that includes multi-PE contamination

three

Mention time constant & resulting low level of undershoot etc.

or add sentences about shape template

maybe later

136 The pulse series used in this analysis come from two datasets:

- 137 1. The **MinBias dataset** records the full waveform of randomly selected events, at a rate that
138 corresponds on average to 1/1000 events. This dataset is used for determining the individual
139 DOM charge distributions.
- 140 2. The **BeaconLaunch dataset** is a forced-trigger (not triggered by the discriminator) filter
141 that is typically used to monitor the individual DOM baseline. It therefore also includes the
142 full window waveform readout. Since this dataset is forced-triggered, the majority of these
143 waveforms represent baseline fluctuations, however there will be the occasional coincidental

Mostly muons from downgoing cosmic ray showers, right? This would be an opportunity to talk about the different trigger rates as a function of depth, that could be left out of earlier section.

Need more info. "MinBias" means different things to different people.

mention & different for new vs old

Good place to introduce importance of low PE region not just for fitting but in real events there are often several PE's and the total charge will see also the part below disc. threshold

more section to help take multi (PE)

Datasets

Waveform recording and processing; need to connect w. "g"

144 pulse that makes it into the readout window. This dataset will be used to examine the noise
 145 contribution to the charge distributions.

146 This analysis uses the full MinBias and BeaconLaunch datasets from IceCube season 2011 to
 147 2016. Seasons in IceCube typically start in June of the labeled year and end roughly one year later.

148 2. Single photoelectron pulse selection

149 The pulse selection is the method used to extract candidate, unbiased, single photoelectrons from
 150 data. An illustrative digram of the pulse selection is shown in the left side of Fig. 2, and a descrip-
 151 tion of the procedure is detailed below.

152 In order to trigger a DOM, the ATWD voltage must exceed the discriminator threshold. Since
 153 the SPE templates must be defined to OPE, the aim is to characterize the measured charge distri-
 154 bution to as low-PE charge as possible. This means that the pulses subject to the discriminator
 155 must be removed. This is accomplished by ignoring pulses that arrive within the first 100 ns of
 156 the time window. The triggering pulse is removed by rejecting the first 100 ns of the time window.
 157 Restrictions are put on the allowed waveforms as well, such as ensuring that the trigger pulse does
 158 not exceed 10 mV (to reduce droop due to the AC coupling) as well as a global constraint that the
 159 time window cannot contain any pulses that exceeds 20 mV. Pulses that arrive over 400 ns after the
 160 trigger may be partially attributed to after pulses, therefore, we do not accept pulses that arrive late
 161 in the time window (over 375 ns after the trigger). Finally, to avoid including late-pulses from the
 162 trigger, we also enforce that the pulse of interest (POI) arrives later than 100 ns after the trigger.

This is confusingly overlapping with rejecting the first 100ns of the time window, already described.

determined also below this threshold (? where do we explain why? suggested) back in section 1.1)

ATWD is finished anyway

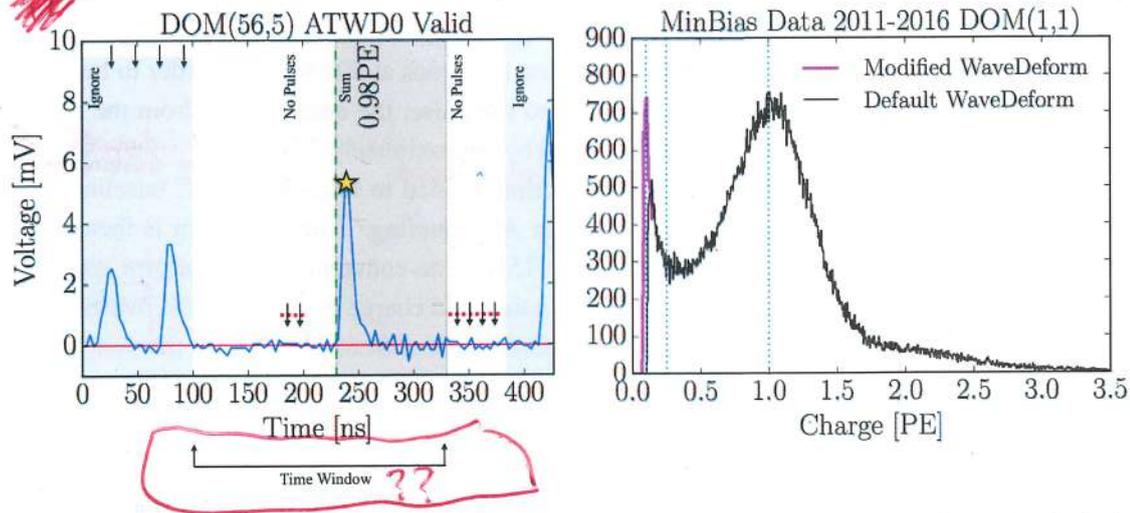


Figure 2. Left: The pulse selection criteria for a selecting a high purity and unbiased sample of single photoelectrons. Right: the collected charges from string 1, optical module 1 (DOM 1,1) from the MinBias data collected from 2011 to 2016 using the pulse selection. The discriminator threshold at 0.25PE is shown as a dotted vertical line (as well as lines at 0.10PE and 1PE). The black histogram is the charge distribution using the non-modified WaveDeform, whereas the purple low-PE component is measured using a modified version of WaveDeform described in Sec. 3.

163 If a pulse is reconstructed between 100 and 375 ns after the time window is opened, it is
 164 accepted as a candidate photoelectron and several checks are performed to ensure the stability of
 165 the waveform. The first check is to ensure that the waveform is at the baseline just prior to the
 166 rising edge of the POI. This is accomplished by ensuring that the waveform does not exceed 1 mV,
 167 50 to 20 ns prior to the POI. We also ensure the waveform returns to the baseline by checking
 168 that no ADC measurement exceeds 1 mV, 100 to 150 ns after the POI. If both these criteria are
 169 met, we sum the reconstructed charges from the pulse time (given by WaveDeform) to +100 ns.
 170 The purpose of this summation is to reassemble charges that may have accidentally been split by
 171 WaveDeform and to reassemble late-pulses. This also means that we will occasionally be accepting
 172 multi-PE events.

to ensure any nearby pulses are either fully separable or fully added.
 avoid effects of nearby pulses on the entire #
 Hmm I guess this avoids cases where a second pulse got split (late pulse) and then only partly added?

173 The pulse selection provides a relatively pure sample of single photoelectrons (as shown in the
 174 black histogram on the right side of Fig. 2. It rejects after-pulses, reassembles late pulses, avoids
 175 the discriminator threshold, reduces the effect of droop/sag, gives sufficient statistics to perform a
 176 season-to-season measurement, and has a minimal amount of multi-PE contamination.

177 The right side of Fig. 2 also shows that there is a second threshold (in the black histogram)
 178 at approximately 0.15PE. This is a software defined threshold that comes from WaveDeform not
 179 attempting to deconvolve charges smaller than a certain size. This threshold is not sharply defined,
 180 therefore it is difficult to draw conclusions about the low-PE tail without further investigation.
 181 Determining the shape of the low-PE charge region involves modifying WaveDeform.

182 **3. Characterizing the low-PE charge region**

183 IceCube has performed several lab measurements using the IceCube PMTs with in-time laser pulses
 184 that have shown a steeply falling low-PE tail below the discriminator threshold. This is in agree-
 185 ment with the in-ice measurements performed by this analysis. In order to reconstruct smaller
 186 charges, WaveDeform was minimally modified to access smaller charges in the pulse selection.
 187 The modifications brought the reconstruction threshold down below 0.10PE, as shown in the pur-
 188 ple histogram of the right side of Fig. 2.

189 In the context of monitoring the waveforms, noise will be defined as ADC fluctuations or
 190 ringing arising from the pedestal. As the modifications to WaveDeform lower the measured charge
 191 threshold, the amount of reconstructed noise increases. To quantify the amount of noise introduced
 192 into the charge distribution, the BeaconLaunch dataset is used.

193 The pulse selection described in Sec. 2, was run on the full BeaconLaunch dataset before and
 194 after the modifications to WaveDeform, this is shown in the light and dark blue histogram of Fig. 3.
 195 The BeaconLaunch data in this figure has been scaled by a factor of 163 such that the total livetime
 196 of the BeaconLaunch dataset was that of the MinBias dataset. In the region below 0.10PE, we find
 197 that the noise contributes less than 1/10th of the total charge.

198 **4. Extracting the SPE templates**

199 **4.1 Fitting procedure**

200 Pulses that fall below the WaveDeform threshold and are not reconstructed contribute to an ef-
 201 fective efficiency of the individual DOM. This analysis assumes the same shape of the steeply

Introduces Sect. 3. Explain why 0.15 SPE is used normally, but then discuss tradeoffs with lowering it, & finally chosen approach (single model component determined by modified WaveDeform) and why we shouldn't worry too much that it's not perfect also what checks are done. "The main concern is electronic noise as being interpreted and the low-charge region of Fig. 2. In order to assess the degree of this pulse charge distribution was examined for the BeaconLaunch dataset which is mostly free of actual PMT pulses..."

the last paragraph from sect 2 should be integrated into sect 3
 falling/rising not clear without addl words usually implies something far away
 actually demonstrating that the charge of pulses resulting from
 Move to section 1.1!
 this is describing the cross check. Need to focus first on curves in fig. 3
 talking here about contrib to total Q. Ideally, there is a discussion of three ranges: below WaveDeform, below discrim, and above discrim. Then explain approach... already in Sect 3
 maybe the effect will be quantifiable
 - 6 -
 the discriminator threshold, reduces the effect of droop/sag, gives sufficient statistics to perform a season-to-season measurement, and has a minimal amount of multi-PE contamination.

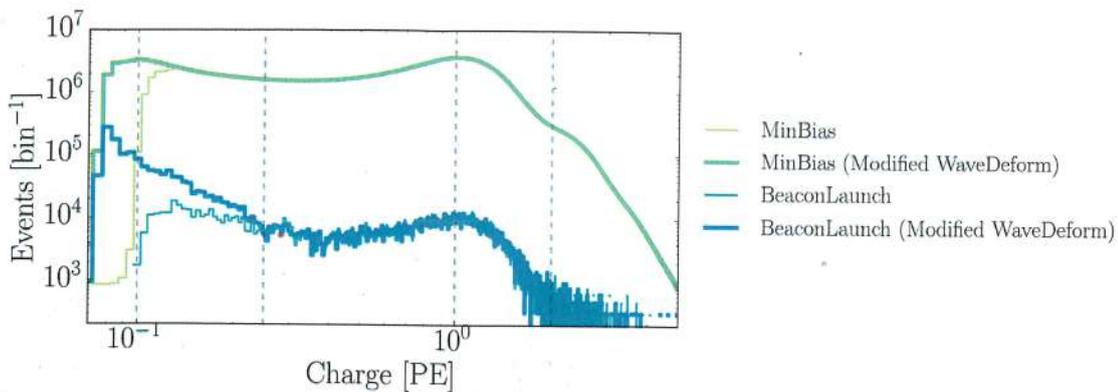


Figure 3. The cumulative charge distributions of all DOMs for the MinBias and BeaconLaunch datasets, for both the modified and non-modified version of WaveDeform. The BeaconLaunch datasets have been scaled such that their livetime matches that of the MinBias dataset. Vertical dotted lines are shown at 0.10PE, 0.25PE, 1PE and 2PE.

202 falling exponential component (Exp_1) for all DOMs in the detector to avoid large fluctuations in
 203 the individual DOM efficiencies. The shape of Exp_1 is determined by fitting the cumulative charge
 204 distribution for all DOMs, for all seasons and uses the modified WaveDeform datasets.

205 The fit assumes that there is a negligible three-PE contribution, which is evident both by the
 206 lack of statistics in the 3PE region, as well as the significant scale difference between the 1PE and
 207 2PE region.

208 The second exponential (Exp_2 , components E_2 and w_2 of Eq. 1.1), represents poorly amplified
 209 photoelectrons and therefore we do not allow it to extend beyond the high charge region of the
 210 Gaussian component. In particular, we include a constraint on the parameter w_2 to ensure that
 211 it falls off with the Gaussian component:

$$w_2 < \frac{\mu + 2\sigma}{4 - \ln(N/E_1)} \quad (4.1)$$

212 This equation was found by setting the Exp_2 to be $1/e^2$ that of the Gaussian component at two
 213 sigma.

214 To avoid the Gaussian component extending below the 0PE, a constraint on the Gaussian
 215 width, σ of Eq. 1.1, is set to be:

$$\sigma < \frac{0.5\mu^2}{\ln(100)} \quad (4.2)$$

216 This constraint enforces that the Gaussian component at 0PE is less than 1% the amplitude of the
 217 Gaussian.

218 The convolutional fitter is used with the constraints (Eq. ??) to extract the fit components to
 219 the measured charge distributions. First, it is used to determine the shape of Exp_1 using the cu-
 220 mulative charge distributions of all the DOMs summed together, with the modified BeaconLaunch
 221 dataset subtracted from the modified MinBias dataset. Then, the shape of Exp_1 is inserted into all
 222 subsequent fits using the non-modified MinBias datasets.

refer to figure?

state whether commonly or rarely hitting these constraints, if rarely, maybe list such things after showing how it usually works. otherwise reader would be concerned about reliability of the results.

$e^{-\frac{1}{2} \frac{\mu^2}{\sigma^2}} \approx 1\%$

this is repeated in next section but with numbers... also was discussed before. Need to organize

Not only shape but also normalization, right? This was a choice and should be made clear along with justification

isn't it true & important that the input spectra are approx 1 PE and the fit constraints are applied in units of PE or everything is headed until self-consistent? If not, it should admit that & say resulting error is less than 10%.

re comment above re fixed norm

Fit is not that

4.2 SPE template fit results

Actually the next paragraph is still about the procedure, not the results, also the first sentence of the following paragraph. Suggest moving to 4.1.

Using the background subtracted modified WaveDeform dataset, the steeply falling exponential component was determined by fitting from 0.1PE to 3.5PE to be $E_1 = 6.9 \pm 1.5$ and $w_1 = 0.032 \pm 0.002$ PE.

The shape of the steeply falling exponential is then used to describe the low-PE charge region for all subsequent non-modified WaveDeform fits. These fits are performed for each individual DOM, separately for each IceCube season (IC86.2011 to IC86.2016), and for the individual DOM cumulative fit where all the seasons are summed together (labeled as "AVG"). Failed fits (dead DOMs, DOMs with known problems, or DOMs that fail any one of several validity checks on the goodness of fit) are not included in this analysis, however, in simulation they are given the average SPE template shape.

Here "these fits" means only the non-Exp1 fits, right? Clarify/justify?

state how many & that avg shape is delivered in place of the failed fit for use in analysis software chain sim only? does the paper say so? where?

add words to clarify "after fixing Exp1 component"

The fit range is selected to be between 0.2PE and 3.5PE. An example fit is shown in Fig. 4 for the cumulative charge distribution for string 1, optical module 1 (DOM (1,1)). The collected charge is shown in the black histogram, while the convolutional fit is shown as the black line. The extracted SPE template for this DOM is shown in red. The fit components, in green, show the steeply falling exponential at low charge, the Gaussian and second exponential, and the 2PE contribution (the multi-PE contamination).

clarify this is convolution of the total 1PE sum with itself (ie the red one)

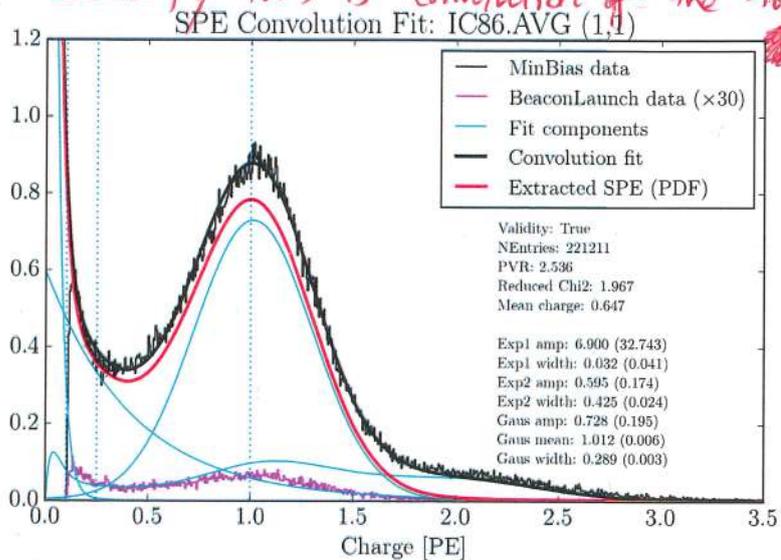


Figure 4. An example fit result for DOM (1,1) using the non-modified WaveDeform and data from all seasons. The result from the convolutional fitter is shown in black and the components of the fit are shown in green. The extracted SPE template is shown in red. The purple histogram is the full detector (all DOMs summed together) non-modified BeaconLaunch dataset, scaled to the livetime of the MinBias data and further multiplied by a factor of 30 in order to be visible.

The mean value and 1σ spread of the fit parameters, excluding Exp_1 and the Gaussian mean (since it is calibrated to be unity), for the IceCube (DeepCore) detector is shown in Table 1 (Table 2). The overall shape of the distribution, the mean value of the fit parameters, and the spread were found to stable over the six seasons of analyzed data.

243 The individual DOM SPE templates were then examined between IceCube seasons. For every
 244 DOM, the change over time of each fit parameter was calculated.

IceCube	Exp ₂ Amplitude	Exp ₂ Width	Gaus. Amplitude	Gaus. Width
IC86.2011	0.552 ± 0.070	0.419 ± 0.036	0.721 ± 0.057	0.305 ± 0.019
IC86.2012	0.553 ± 0.069	0.418 ± 0.036	0.722 ± 0.057	0.305 ± 0.020
IC86.2013	0.555 ± 0.068	0.417 ± 0.036	0.721 ± 0.056	0.305 ± 0.020
IC86.2014	0.553 ± 0.068	0.419 ± 0.035	0.720 ± 0.056	0.306 ± 0.019
IC86.2015	0.554 ± 0.070	0.418 ± 0.038	0.722 ± 0.057	0.305 ± 0.020
IC86.2016	0.554 ± 0.069	0.418 ± 0.036	0.721 ± 0.057	0.305 ± 0.020

Table 1. The average fit value and 1σ spread for the IceCube detector.

Probably need to remind why Table 1 and Table 2 results look different, i.e. mostly the different types of module. Would be easier if this section came after the next one? Also, justify using the same Exp1 fit for all data rather than fitting separately, or at least admit it and say it doesn't matter?

DeepCore	Exp ₂ Amplitude	Exp ₂ Width	Gaus. Amplitude	Gaus. Width
IC86.2011	0.604 ± 0.067	0.417 ± 0.029	0.678 ± 0.040	0.312 ± 0.016
IC86.2012	0.606 ± 0.070	0.416 ± 0.030	0.679 ± 0.040	0.312 ± 0.015
IC86.2013	0.610 ± 0.067	0.413 ± 0.029	0.678 ± 0.041	0.311 ± 0.016
IC86.2014	0.609 ± 0.066	0.414 ± 0.031	0.677 ± 0.040	0.312 ± 0.015
IC86.2015	0.607 ± 0.063	0.417 ± 0.029	0.680 ± 0.041	0.311 ± 0.016
IC86.2016	0.610 ± 0.065	0.415 ± 0.030	0.679 ± 0.040	0.311 ± 0.016

Table 2. The average fit value and 1σ spread for the DeepCore detector.

245 Fig. 5 shows the change in a given fit parameter (represented in percentage deviation from the
 246 mean value), per year, of each DOM in both the IceCube (left) and DeepCore (right) detectors.
 247 All the fit parameters are found to deviate less than 0.1% per year in both detectors, which is in
 248 agreement with the stability checks performed in Ref. [5].

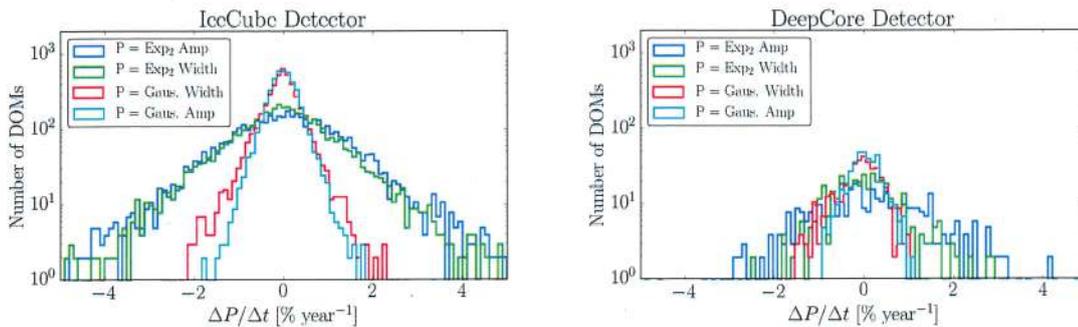


Figure 5. The change in individual DOM fitted parameters over time (left: IceCube, Right: DeepCore). The change in the fit value is represented in percentage deviation from the mean fit parameter value.

249 **5. Discussion**

250 **5.1 Correlations between fit parameters and DOM hardware differences**

251 As noted in Sec. 1, there are two hardware differences implemented in the deployment of the
 252 DOMs: subset of HQE DOMs and the method used for AC coupling the PMT anode to the front-

Just a different part

*Are year-to-year differences consistent with random fluctuations or is there any preference for gradual changes indicated by the ΔP/Δt results shown? For example, if for each DOM you look at the spread of values and consider that a simple measurement uncertainty & then ask what would be the spread of slopes fitted to such series of random values does it make sense to fit? Alternatively, if you construct a 5 after time-scrambling the data, does it look the same? **

253 end amplifiers. Correlations between the different hardware configurations were examined for
 254 correlations with the SPE template fit components.

255 The HQE DOMs were found to have a larger Exp_2 component (9.2% lower w_2 component,
 256 and a 17.2% higher E_2 , described in terms of Eq.1.1) than the standard DOMs in IceCube. Conse-
 257 quently, the HQE DOMs have an 11.6% lower peak-to-valley ratio and a 3.7% lower mean charge.
 258 These distributions are shown in Fig. 6.

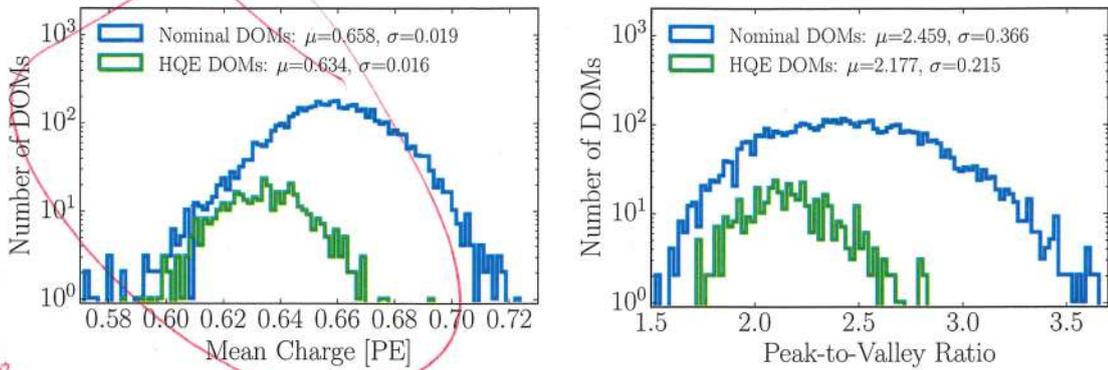


Figure 6. Comparison between the R7081-02MOD HQE DOMs and standard R7081-02 DOMs. Left: The mean charge of the individual DOM SPE templates. Right: The Peak-to-Valley ratio for the two subsets of quantum efficiencies.

Maybe the Exp_2 piece should have been separately tuned? Someone may ask, it's good to be able to say the effect is smaller than xxx so it was not needed

would be nice if we can show avg spectrum for HQE vs non-HQE

original AC coupling former

259 The DOMs with the old method of AC coupling were found to have a 7.2% narrower Gaussian
 260 width and an 8.0% larger Gaussian amplitude (σ and N in Eq. 1.1). The exponential component,
 261 however, was found to be within 0.9% of the average DOMs. Although the old toroid DOMs
 262 were deployed into ice earlier than the new toroid DOMs, the difference above is still noted when
 263 examining individual deployment years, therefore the shape differences are not attributed to the
 264 change in the DOM behavior over time. However, the DOMs with the old toroids were the first
 265 DOMs to be manufactured by Hamamatsu, therefore, this difference may also be attributed to a
 266 change in the production procedure rather than the actual AC coupling method.

gradual change in process parameters over the course of xx years of PMT production

N.B. pulse shape was different, see PMT paper

PMT

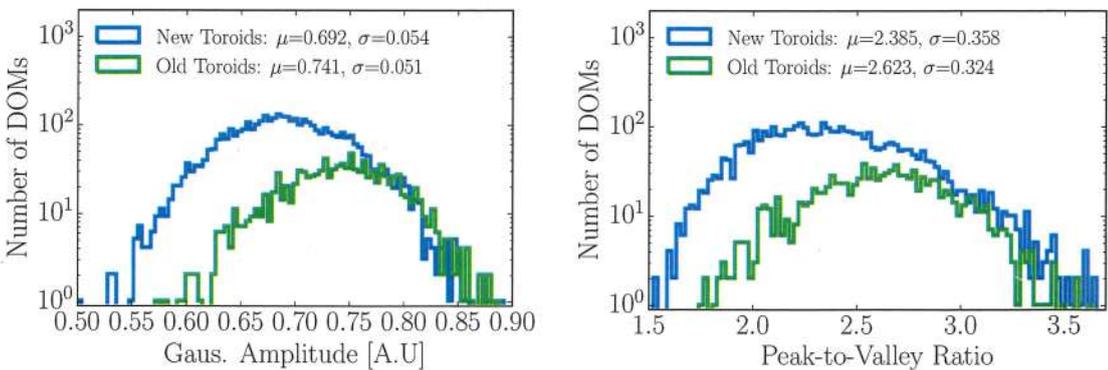


Figure 7. Comparison between the AC coupling method used on the DOMs. Left: The Gaussian amplitude fit component, N . Right: The Peak-to-Valley ratio for the subset of DOMs with different AC coupling.

My guess: correlated to HV needed for 10⁷... that was higher at the beginning... & the first dynode gain is probably mostly depending on that HV.

ideally also serial number

Can you make such plots, each fit parameter

- 10 - definitely mention that HQE DOMs also look different from regular, new-toroid DOMs at similar depth (??)

vs HV? Would be good to say we at least looked for this explanation. Results are a bit mysterious

267 **6. Conclusion**

268 This report outlines the procedure used for collecting a relatively pure sample of single photo elec-
269 tronics from in-ice IceCube data. Multi-PE contamination was removed using the assumption that
270 the MPE contamination is the convolution of the SPE distribution multiple times. The correlations
271 between the extracted shape of the SPE templates and hardware specific differences in the DOMs
272 was investigated. Sub-percent level seasonal variations were observed, in agreement with Ref. [5].
273 Individual DOM seasonal variations were found to be sub 0.1% per year. The HQE DOMs located
274 in the IceCube and DeepCore detectors, were found to have a distinguishable Exp_2 component
275 from the standard DOMs. Similarly, DOMs with different AC coupling were also found to have a
276 distinguishable shape difference, however, this could have been due to the manufacturing process
277 of the DOMs rather than the method of AC coupling. (?)

278

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336 7. Appendix

337 7.1 Quantifying the effect of using SPE templates

338 Changing the assumed gain response in simulation, as deduced from data, has different implications
339 depending on the typical illumination level as present in different analysis. These differences are
340 outlined in the following.

341 The PMT response is described by a combination of a "bare" efficiency, η_0 , and a normalized
342 charge response function, $f(q)$. The bare efficiency represents the fraction of arriving photons that
343 result in any non-zero charge response, including those well below the discriminator threshold. The
344 normalization condition is:

$$\int_0^{\text{inf}} f(q) dq = 1. \quad (7.1)$$

345 Generally, $f(q)$ and η_0 have to be adjusted together to maintain agreement with a quantity known
346 from lab or in-ice measurements, such as the predicted number of pulses above threshold for a dim
347 source.

348 7.1.1 Dim source measurements

349 Where light levels are low enough, sub-discriminator pulses do not contribute any observed charge
350 because they do not satisfy the trigger threshold and the probability of two photons arriving together
351 is negligible. Given some independent way of knowing the number of arriving photons, a lab or
352 in-ice measurement determines the trigger fraction above threshold $\eta_{0.25}$ and/or the average charge
353 over threshold $Q_{0.25}$, either of which can be used to constrain the model as follows:

$$\eta_{0.25} = \eta_0 \int_{0.25q_{pk}}^{\text{inf}} f(q) dq \quad (7.2)$$

$$Q_{0.25} = \eta_0 \int_{0.25q_{pk}}^{\text{inf}} qf(q) dq \quad (7.3)$$

354 Here, the discriminator threshold is assumed to be 0.25 times the peak position q_{pk} . It is also
355 useful to scale observed charges by q_{pk} , since we set each PMT gain by such a reference, and then
356 a measurement constraint would be stated in terms of $Q_{0.25}/q_{pk}$.

357 7.1.2 Semi-bright source measurements

358 Once the ATWD window is open, subsequent pulses are not limited by the discriminator threshold,
359 however, WaveDeform introduces a software threshold at 0.1PE (described at the end of Section 2).
360 The average charge of an individual pulse that arrive within the time window is therefore:

$$Q_{0.10} = \eta_0 \int_{0.10q_{pk}}^{\text{inf}} qf(q) dq \quad (7.4)$$

Would be good to start with narrative, then list some formulae & say which ones are relevant in each brightness

361 **7.1.3 Bright source measurements**

362 For light levels that are large, the trigger is satisfied regardless of the response to individual photons,
 363 and the total charge per arriving photon therefore includes contributions below both the discrimi-
 364 nator and the WaveDeform thresholds:

$$Q_0 = \eta_0 \int_0^{\text{inf}} qf(q)dq \quad (7.5)$$

365 As such the total charge is directly propotional to the average charge of the SPE template,
 366 having a strong dependence on the steeply falling exponential.

367 **7.1.4 Model comparison**

368 When the charge distribution model is changed in a way that preserves agreement with the mea-
 369 sured $\eta_{0.25}$ or $Q_{0.25}/q_{pk}$, i.e. η_0 is adjusted properly for changes in $f(q)$, the physical effect can be
 370 summarized by the change in the bright-to-dim ratios $Q_0/Q_{0.25}$, and $Q_0/Q_{0.10}$. Conveniently, these
 371 ratios depend only on the shape of $f(q)$. Table 3 compares these ratios in terms of the previous
 372 charge distribution (TA0003) and the SPE templates described here.

Model	Detector	$Q_0/Q_{0.25}$	$Q_0/Q_{0.10}$	$\eta_{0.25}/Q_{0.25}$
TA0003	IceCube and DeepCore	1.017	1.003	0.969
SPE Templates	IceCube	1.031 ± 0.003	1.013 ± 0.001	0.971 ± 0.006
SPE Templates	DeepCore	1.034 ± 0.002	1.014 ± 0.001	0.965 ± 0.006

Table 3. The distribution in bright-to-dim ratios for the previous charge distribution (TA0003) and the individual DOM SPE templates for the IceCube and DeepCore detector.

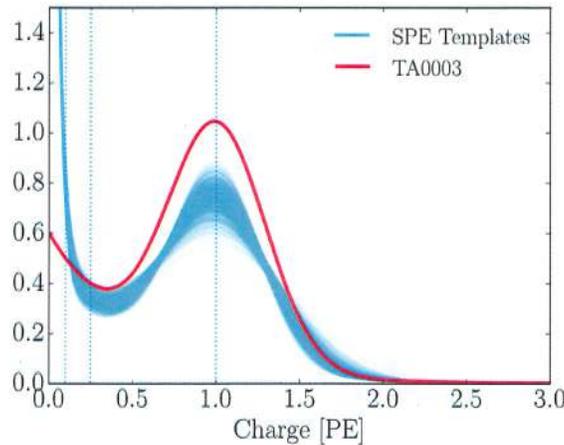


Figure 8. The normalized charge distributions. The TA0003 distribution is shown in red, while the cumulative SPE templates for DOMs in both IceCube and DeepCore are shown in Blue.

373 Table 3, shows percent-level differences in the physically observable bright-to-dim ratios.
 374 Fig. 8, shows the shape difference between the TA0003 distribution and all the SPE templates

375 measured in this report. The shape difference is attributed to a better control of the low charge
376 region, the difference in functional form (described in Section 1.1), as well as the fact that the SPE
377 templates sample uniformly over the entire photocathode at random incident angles.

We need to be more explicit how the measured charge in a HE event hardly changes even though $\langle q \rangle$ changes between models. Be clear about what is fixed, e.g. ~~Q_{0,25}~~ should we imagine that $Q_{0,25}$ or $\eta_{0,25}$ is fixed or what? Also, should tie this into the fit discussion in the paper. Or reformulate it... we need to have a quantitative way to estimate effect of low -PE "tail" etc on different physics measurables, e.g. to justify using a single fit for Exp 1 & to ~~justify~~ explain why effect on HE energy is minimal